

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Commissioner of Patents & Trademarks
Washington, D.C. 20231
Attn: Box Patent Application

Docket No. SCH-1664-C1
Prior Application: 09/242,334
Examiner: B. Trinh
Art Unit: 1612

Sir: This is a request for filing a

- ☒ Continuation
☐ Divisional

Under 37 C.F.R. 1.53(b), of prior application Serial No. 09/242,334 filed on February 11, 1999 of Jorg-Thorsten MOHR et al., for **PROCESS FOR PRODUCING OF DROSPIRENONE (6 β , 7 β , 15 β , 16 β -DIMETHYLENE-3-OXO-17 α -PREGN-4-EN-21, 17-CARBOLACTONE, DRSP) AS WELL AS 7 α -(3-HYDROXY-1-PROPYL)-6 β , 7 β ; 15 β , 16 β -DIMETHYLENE-5 β -ANDROSTANE-3 β , 5, 17 β -TRIOL (ZK 92836) AND 6 β , 7 β ; 15 β , 16 β -DIMETHYLENE-5 β -HYDROXY-3-OXO-17 α -ANDROSTANE-21, 17-CARBOLACTONE (90965) AS INTERMEDIATE PRODUCTS OF THE PROCESS**

1. ☒ Enclosed are eighteen (18) pages of the specification including claims and zero (0) sheets of drawings.
2. ☒ Enclosed is a copy of the oath or declaration as originally filed in Serial No. 09/242,334 on February 11, 1999 in accordance with 37 C.F.R. §1.63(d).
3. ☒ The filing fee is calculated below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	3 - 20	0	\$18	0.00
INDEPENDENT CLAIMS	3 - 3	0	\$78	0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED				
<input type="checkbox"/> Small Entity Status Claimed under 37 CFR 1.9 and 1.27			BASIC FEE	690.00
Statement(s): <input type="checkbox"/> Attached <input type="checkbox"/> Filed in Parent			TOTAL FILING FEE	\$690.00

4. ☒ The amount of \$ 690.00 is included in the attached check.
 - ☒ If a check is not attached, authorization is given to charge the amount indicated in the above sentence to Deposit Account No. 13-3402; two copies of this page being attached for this purpose.
5. ☐ Please charge my Deposit Account No. 13-3402 in the amount of \$ _____, two copies of this sheet are attached.
6. ☒ The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment in payment of the following fees associated with this communication or otherwise due during the pendency of this application to Deposit Account No. 13-3402.
 - ☒ Any filing fees under 37 CFR §1.16 for the presentation of extra claims.
 - ☒ Any patent application processing fees under 37 CFR §1.17.
7. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
8. ☒ Amend the specification by inserting before the first line the sentence:
-- This is a continuation of application Serial No. 09/242,334 filed February 11, 1999 . --
9. ☒ Priority of application No. 196 33 685.6 filed on August 12, 1996 in Germany is claimed under 35 U.S.C. §119.
10. ☒ The certified copies have been filed in prior application Serial No. 09/242,334 filed February 11, 1999 .
11. ☒ The prior application is assigned of record to Schering AG of Berlin, Germany .
12. ☒ The power of attorney in the prior application is to: L. William Millen (19,544); John L. White (17,746); Anthony J. Zelano (27,969); Alan E.J. Branigan (20,565); John R. Moses (24,983); Harry B Shubin (32,004); Brion P. Heaney (32,542); Diana Hamlet-King (33,302); Richard J. Traverso (30,595); Richard E. Kurtz (33,936); John A. Sopp (33,103); John H. Thomas (33,460); Richard M. Lebovitz (37,067) and Luan C. Do (38,434)
 - ☒ a. The power appears in the original papers in the prior application.
 - ☒ b. Address all future communications to MILLEN, WHITE, ZELANO & BRANIGAN, P.C.
13. ☒ Incorporation By Reference.
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 2, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Date: August 18, 2000

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PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION

International Office

INTERNATIONAL APPLICATION PUBLISHED ACCORDING TO THE PATENT
COOPERATION TREATY (PCT)

- (51) International patent classification⁶: C07J 53/00 A1
- (11) International publication number: WO 98/06738
- (43) International publication date: February 19, 1998 (2/19/98)
- (21) International file number: PCT/EP97/04342
- (22) International application date: August 11, 1997 (8/11/97)
- (30) Priority data: 196 33 685.6 August 12, 1996 (8/12/96) DE
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- (74) Joint Representative: SCHERING AKTIENGESELLSCHAFT, Patents,
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- (81) Designated countries: AL, AM, AT, AU, AZ, BB, BG, BR, BY,
CA, CH, CN, CZ, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE,
KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW,
MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR,
TT, UA, UG, US, UZ, VN, ARIPO Patent (GH, KE, LS, MW, SD,
SZ, UG, ZU), Eurasian Patent (AM, AZ, BY, KG, KZ, MD, RU,
TJ, TM), European Patent (AT, BE, CH, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI Patent (BF, BJ,
CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published:

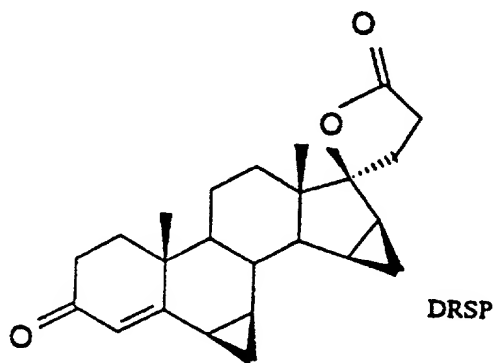
With international search report.

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(54) **Title:** PROCESS FOR THE PRODUCTION OF DROSPIRENONE (6 β ,7 β ; 15 β ,16 β -DIMETHYLENE-3-OXO-17 α -PREGN-4-ENE-21,17-CARBOLACTONE, DRSP) AND 7 α -(3-HYDROXY-1-PROPYL)-6 β ,7 β ; 15 β ,16 β -DIMETHYLENE-5 β -ANDROSTANE-3 β ,5,17 β -TRIOL (ZK [CENTRAL CATALOG] 92836) AND 6 β ,7 β ; 15 β ,16 β -DIMETHYLENE

(57) **Abstract**

Process for the production of drospirenone (6 β ,7 β ; 15 β ,16 β -dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone, DRSP) (1) and 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androstande-3 β ,5,17 β -triol (ZK 92836) and 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androstande-21,17-carbolactone (ZK 90965) as intermediate products of the process.



(1)

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KP Democratic People's Republic of Korea
 KR Republic of Korea
 KZ Kazakhstan
 LC St. Lucia

 LI Liechtenstein
 LK Sri Lanka
 LR Liberia

 LS Lesotho
 LT Lithuania
 LU Luxembourg
 LV Latvia
 MC Monaco
 MD Republic of Moldova
 MG Madagascar
 MK the former Yugoslavian Republic of Macedonia
 ML Mali
 MN Mongolia
 MR Mauritania
 MW Malawi
 MX Mexico
 NE Niger
 NL The Netherlands
 NO Norway
 NZ New Zealand
 PL Poland
 PT Portugal
 RO Romania
 RU Russian Federation
 SD Sudan

 SE Sweden
 SG Singapore

 SI Slovenia
 SK Slovakian Republic
 SN Senegal
 SZ Swaziland
 TD Chad
 TG Togo
 TJ Tajikistan
 TM Turkmenistan
 TR Turkey
 TT Trinidad and Tobago
 UA The Ukraine
 UG Uganda
 US United States of America
 UZ Uzbekistan
 VN Vietnam
 YU Yugoslavia
 ZW Zimbabwe

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Process for the Production of Drospirenone (6 β ,7 β ; 15 β ,16 β -Dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone, DRSP)

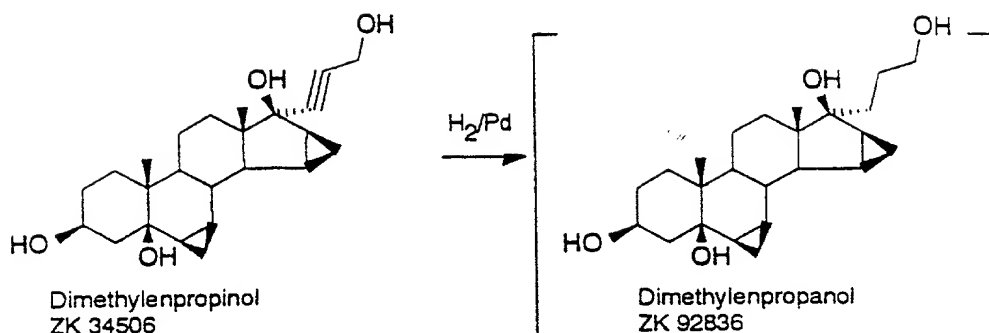
and

7 α -(3-Hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androstane-3 β ,5,17 β -triol (ZK 92836) and 6 β ,7 β ; 15 β ,16 β -Dimethylene-5 β -hydroxy-3-oxo-17 α -androstane-21,17-carbolactone (90965)

as Intermediate Products of the Process.

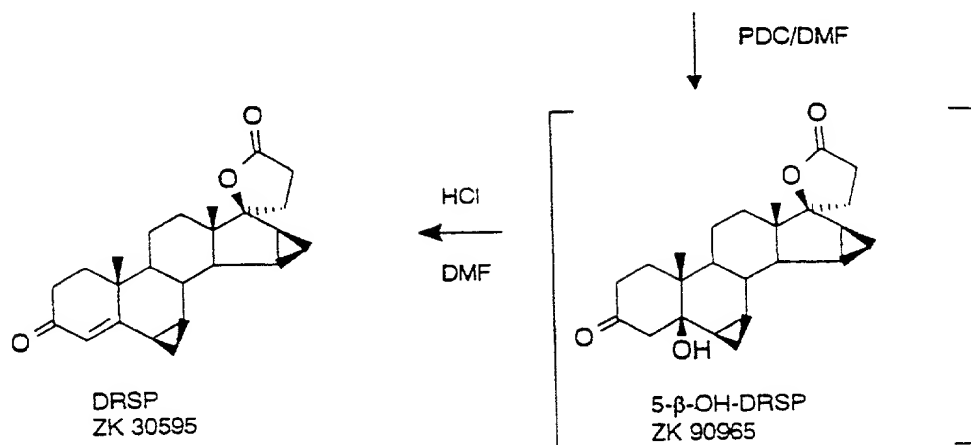
The invention relates to a process for the production of drospirenone (6 β ,7 β ; 15 β ,16 β -dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone, DRSP) and 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androstane-3 β ,5,17 β -triol (ZK 92836) and 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androstane-21,17-carbolactone (ZK 90965) as intermediate products of the process.

Drospirenone (6 β ,7 β ; 15 β ,16 β -dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone, DRSP, INN) has been known for some time as a steroidal active ingredient (DE 26 52 761 C2 and DE 30 22 337 A1), and the production of the last 4 steps is carried out in a single-pot reaction; in which after dimethylene propinol ZK 34506 is hydrogenated, none of the intermediate stages dimethylene propanol and 5- β -OH-DRSP that are passed through are isolated (see diagram below).



Dimethylene propinol
ZK 34506

Dimethylene propanol
ZK 92836



DRSP

ZK 30595

5-β-OH-DRSP

ZK 90965

The dimethylene propinol ZK 34506 is hydrogenated in tetrahydrofuran with hydrogen on palladium-carbon into dimethylene propanol ZK 92836. The hydrogenating solution that is thus obtained, which contains propanol ZK 92836 as the main product and varying proportions of lactol, is reacted without

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isolation and intermediate working-up to drospirenone ZK 30595 (DRSP).

For this purpose, a change of solvent from tetrahydrofuran to dimethylformamide first takes place and then the propanol is oxidized at 40°C with an excess of 3.7 equivalents of pyridinium dichromate (PDC) to a mixture of DRSP and 5-β-OH-DRSP. The 5-β-OH group in the oxidation product is labile compared to acids, Lewis acids and basic conditions at elevated temperatures, since in all cases, a more thermodynamically stable product is obtained with the formation of the Δ-4,5-unsaturated ketone in the drospirenone. The elimination of the β-OH group in the 5-β-OH-DRSP results in more thermodynamically stable drospirenone, and it was not possible to suppress it.

The mixture generally contains differing proportions of the two components, whereby 5-β-OH-DRSP is generally present as a main component at a ratio of 2-3:1. In the last stage of the single-pot sequence, the two-component mixture is converted by adding semi-concentrated hydrochloric acid into the DRSP, crude.

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In the table below, the last four operating preparations are summarized.

Preparation	Yield, crude (%)	Purity (100% Method)
537201	57.2	98.9
202	63.7	99.09
203	46.5	99.18
204	58.3	98.81
Total	Mean Yield: 56.4	Mean Purity: 98.9

By the means of all operational preparations, starting from dimethylene propinol, a theoretical yield of 56% DRSP, crude at an HPLC purity of 98.9%, is achieved.

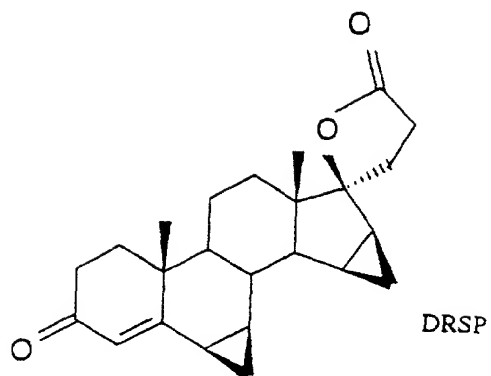
The object of the invention is the provision of a new production process for drospirenone, which is more selective and simpler in execution than that from the prior art and, in addition, is ecological (savings of a chromium trioxide oxidation).

This object is achieved according to the teaching of the claims.

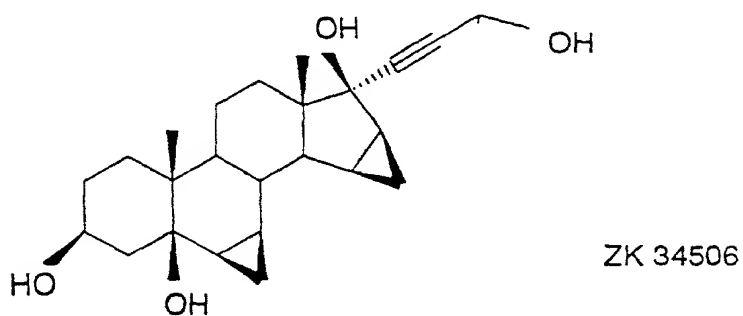
The invention contains a process for the production of drospirenone (6 β ,7 β ; 15 β ,16 β -dimethylene-3-oxo-17 α -pregn-4-ene-

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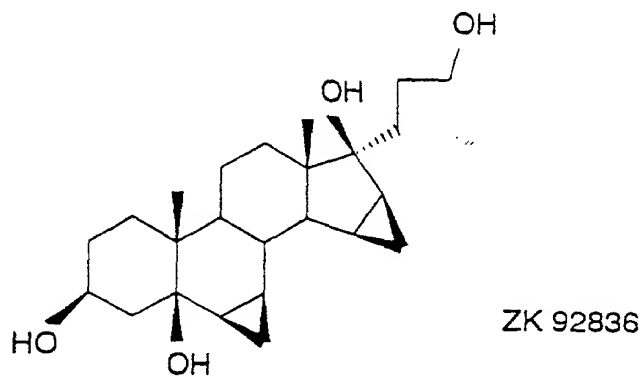
21,17-carbolactone, DRSP)



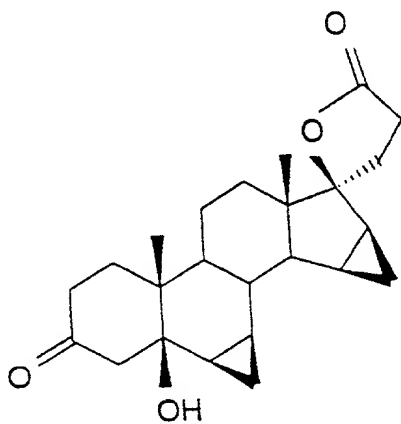
by catalytic hydrogenation of 17 α -(3-hydroxy-1-propynyl)-6 β ,7 β ;
15 β ,16 β -dimethylene-5-androstane-3 β ,5,17 β -triol (ZK 34506)



into 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -
androstane-3 β ,5,17 β -triol (ZK 92836)



then oxidation with use of commercially available ruthenium salts, such as RuCl_3 , RuO_2 , KRuO_4 , K_2RuO_4 , but preferably in the presence of catalytic amounts of RuCl_3 (1 mol%) and conventional, simple oxidizing agents such as 'butyl hydroperoxide, N-methyl-morpholine-N-oxide, $\text{M}_2\text{S}_2\text{O}_8$ ($\text{M} = \text{Na}, \text{K}$), MXO_y ($\text{M} = \text{Li}, \text{Na}, \text{K}$; $\text{X} = \text{B}, \text{Cl}, \text{Br}$, 1: $y = 1-4$), but preferably 1-3 equivalents of NaBrO_3 , in solvents such as acetonitrile, chloroform, methylene chloride, carbon tetrachloride, water, tetrahydrofuran, tert-butanol, ethyl acetate or combinations thereof, but preferably in an acetonitrile-water mixture in the composition of acetonitrile:water = 1:1, in 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androstande-21,17-carbolactone (ZK 90965)



ZK 90965

and subsequent dehydration.

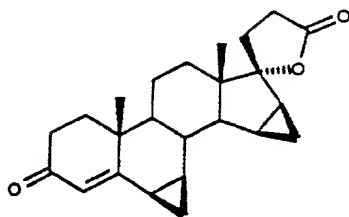
As a key reaction, the invention contains the ruthenium-catalyzed oxidation of dimethylene propanol ZK 92836 to 5- β -OH-DRSP ZK 90965 and the subsequent elimination of water to drospirenone ZK 30595 in a two-stage process.

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The yields are in the range of 68% to 75% via the two stages: hydrogenation and then oxidation.

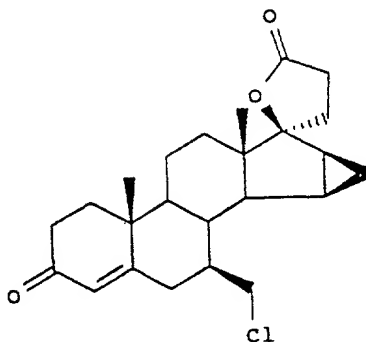
From some tests, it is known that in the case of acidic action, drospirenone can be decomposed with acidic action via two reaction routes. For one thing, under acidic conditions, the

drospirenone is easily converted into epimeric isolactone ZK 35096.



ZK 35096

The second by-product is produced by an HCl attack on the 6,7-methylene group, which results in ring opening product ZK 95673.



ZK 95673

Both by-products are pushed back under the reaction conditions of the new process to the extent that they can be observed only on an order of magnitude of $< 0.2\%$.

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Another very basic advantage of the process according to the invention compared to the prior art lies in the range of ecology. It has been possible to replace the previously used toxic chromium compounds, which so far have been used in the form of pyridinium dichromate salts for oxidation and must subsequently be disposed of in the form of their solutions, by catalytic amounts of a metal. In addition, it is possible to recycle the used acetonitrile-water mixture by azeotropic distillation, so that also no danger to the environment is likely.

The invention also contains the intermediate products 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androsterane-3 β ,5,17 β -triol (ZK 92836) and 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androsterane-21,17-carbolactone (90965).

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Examples:**6 β ,7 β ; 15 β ,16 β -Dimethylene-5 β -hydroxy-3-oxo-17 α -androstande-21,17-carbolactone**

50 g of 17 α -(3-hydroxy-1-propynyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androstande-3 β ,5,17 β -triol is hydrogenated into 1000 ml of THF in the presence of 10 g of palladium on carbon (10%) and 3 ml of pyridine until 2 equivalents of hydrogen are taken up. Then, the catalyst is filtered off, and the solution is evaporated to the dry state, whereby 52.7 g of 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androstande-3 β ,5,17 β -triol is obtained, which is further reacted without purification.

50.2 g of 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -androstande-3 β ,5,17 β -triol is suspended in 250 ml of acetonitrile and heated to 45°C. 0.52 g of ruthenium trichloride, dissolved in 10 ml of water, and 62.46 g of sodium bromate, dissolved in 250 ml of water, are added in drops to the above. It is stirred for 2 more hours at 50°C, and the solution is then quenched by adding 1000 ml of water. 200 ml of ethyl acetate is added, the phases are separated and then the aqueous phase is extracted with 600 ml of ethyl acetate. The combined organic phases are dried on sodium sulfate and then evaporated to the dry state. In this case, 43.44 g of 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androstande-21,17-carbolactone is obtained as crude product. 35.7 g of 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androstande-21,17-carbolactone with a melting

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point of 216°-218°C is obtained by recrystallization from acetone-isoether. The rotation is approximately -65.6°C (sodium line, $c = 1.02$ in CHCl_3).

6 β ,7 β ; 15 β ,16 β -Dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone

28 g of 6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -hydroxy-3-oxo-17 α -androstane-21,17-carbolactone is suspended in 280 ml of THF and then mixed with 10 mol% of 1.5 g of p-toluenesulfonic acid. After 30 minutes, 125 ml of saturated NaCl solution and 8.2 ml of 1N NaOH solution are added. After phase separation, the organic phase is dried on sodium sulfate and evaporated to the dry state, whereby 25.67 g of 6 β ,7 β ; 15 β ,16 β -dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone is obtained as crude product, whose purity is approximately 93% according to HPLC determination.

Further purification can be done by chromatography.

The melting point of the chromatographed substance is approximately 197.5°-200°C.

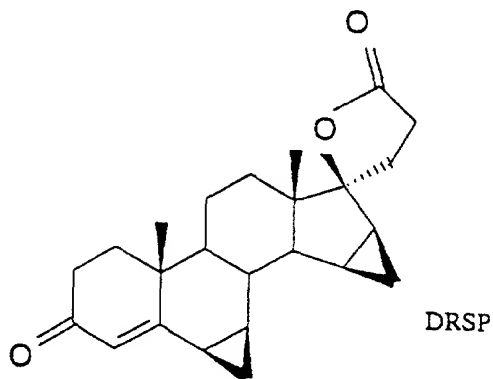
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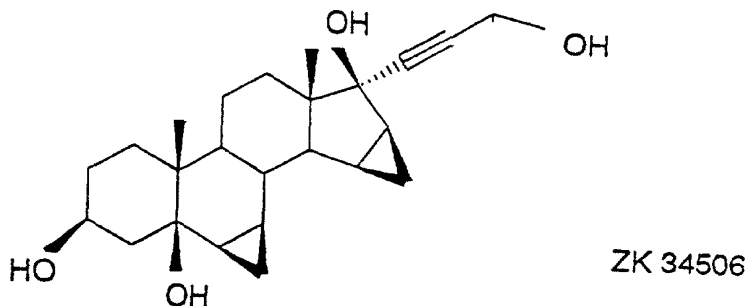
PCT/EP97/04342

Claims

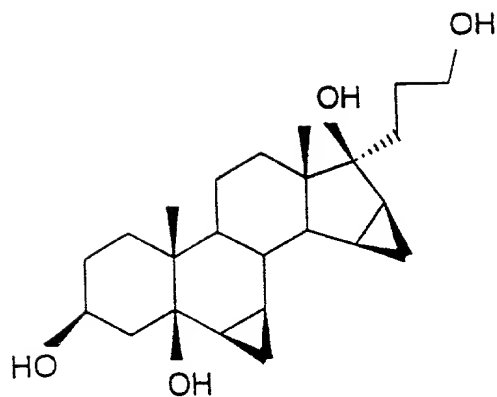
1. Process for the production of drospirenone (6 β ,7 β ; 15 β ,16 β -dimethylene-3-oxo-17 α -pregn-4-ene-21,17-carbolactone, DRSP)



by catalytic hydrogenation of 17 α -(3-hydroxy-1-propynyl)-6 β ,7 β ;
15 β ,16 β -dimethylene-5-androstane-3 β ,5,17 β -triol (ZK 34506)

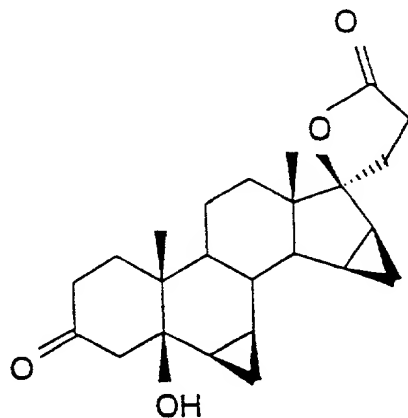


into 7 α -(3-hydroxy-1-propyl)-6 β ,7 β ; 15 β ,16 β -dimethylene-5 β -
androstane-3 β ,5,17 β -triol (ZK 92836),



ZK 92836

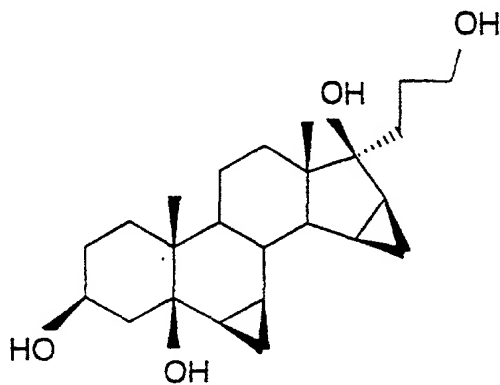
oxidation in the presence of a ruthenium salt into 6 β ,7 β ;
15 β ,16 β -dimethylene-5 α -hydroxy-3-oxo-17 α -androsterane-21,17-
carbolactone (ZK 90965)



ZK 90965

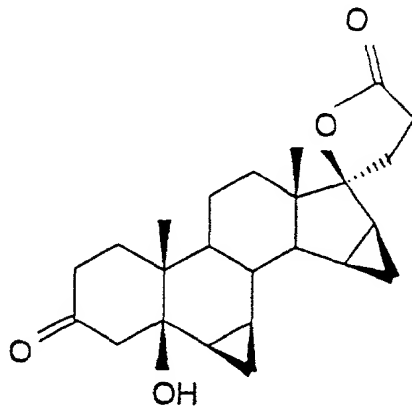
and subsequent dehydration.

2. 7α -(3-Hydroxy-1-propyl)- $6\beta,7\beta$; $15\beta,16\beta$ -dimethylene- 5β -androsterane- $3\beta,5,17\beta$ -triol (ZK 92836)



ZK 92836

3. $6\beta,7\beta$; $15\beta,16\beta$ -Dimethylene- 5β -hydroxy-3-oxo- 17α -androsterane-21,17-carbolactone (ZK 90965)



ZK 90965

Docket No.
SCH 1664

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PROCESS FOR PRODUCING DROSPIRENONE (6 β , 7 β ; 15 β , 16 β -DIMETHYLENE-3-OXO-17 α -PREGN-4-EN-21,17-CARBOLACTONE, DRSP), AS WELL AS 7 α -(3-HYDROXY-1-PROPYL)-6 β , 7 β ; 15 β , 16 β -DIMETHYLENE-5 β -ANDROSTANE-3 β , 5, 17 β -TRIOL (ZK 92836) AND 6 β , 7 β ; 15 β , 16 β -DIMETHYLENE-5 β HYDROXY-5-OXO-17 α -ANDROSTANE-21, 17-CARBOLACTONE

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 11 August 1997 as United States Application No. or PCT International Application Number PCT/EP97/04342 and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>196 33 685.6</u>	<u>Germany</u>	<u>12 August 1996</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)

_____	_____
(Application Serial No.)	(Filing Date)

_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112. I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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